



# THE EUROPEAN LEGISLATIVE FRAMEWORK

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**European Commission**  
Enterprise and Industry



# THE SINGLE MARKET

- 27 Member States
- 500 Million Consumers
- Source for growth and jobs
- Wider choice of high quality products
- Competitive environment



## A GENERAL OVERVIEW

**Harmonised**

**Old Approach**

**New Approach**

**Non-harmonised**

**EC Treaty**

(art. 28 - 30)



# HARMONISED AREAS

**Old Approach**

**Vs.**

**New Approach**



## LEGISLATIVE TOOLS

Technical Regulations are included in  
Directives → (compulsory)

Standards are not included in legislative  
acts → (voluntary)



## THE OLD APPROACH (1)

- Detailed harmonisation
- One single legislative text



## THE OLD APPROACH (2)

- Very detailed specifications
  - Mix of national texts
  - Lengthy approval process
  - *Cassis de Dijon* judgement (1979)
- Need for new legislative techniques



## THE OLD APPROACH (3)

### Sensitive sectors

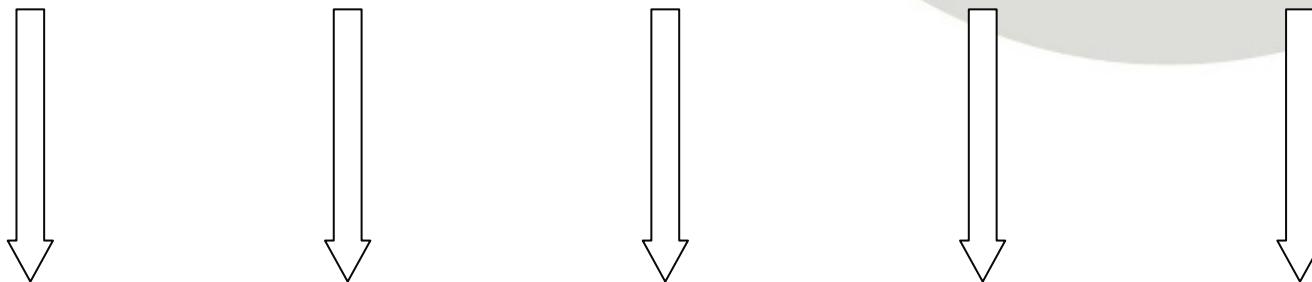
- Automotive
- Chemicals
- Pharmaceuticals



## SOME STEPS FORWARD

- 1985 Council resolution on New Approach to technical harmonisation and standards
- 1986 Single European Act

Internal Market to be completed by end 1992



Free circulation of (safe) products

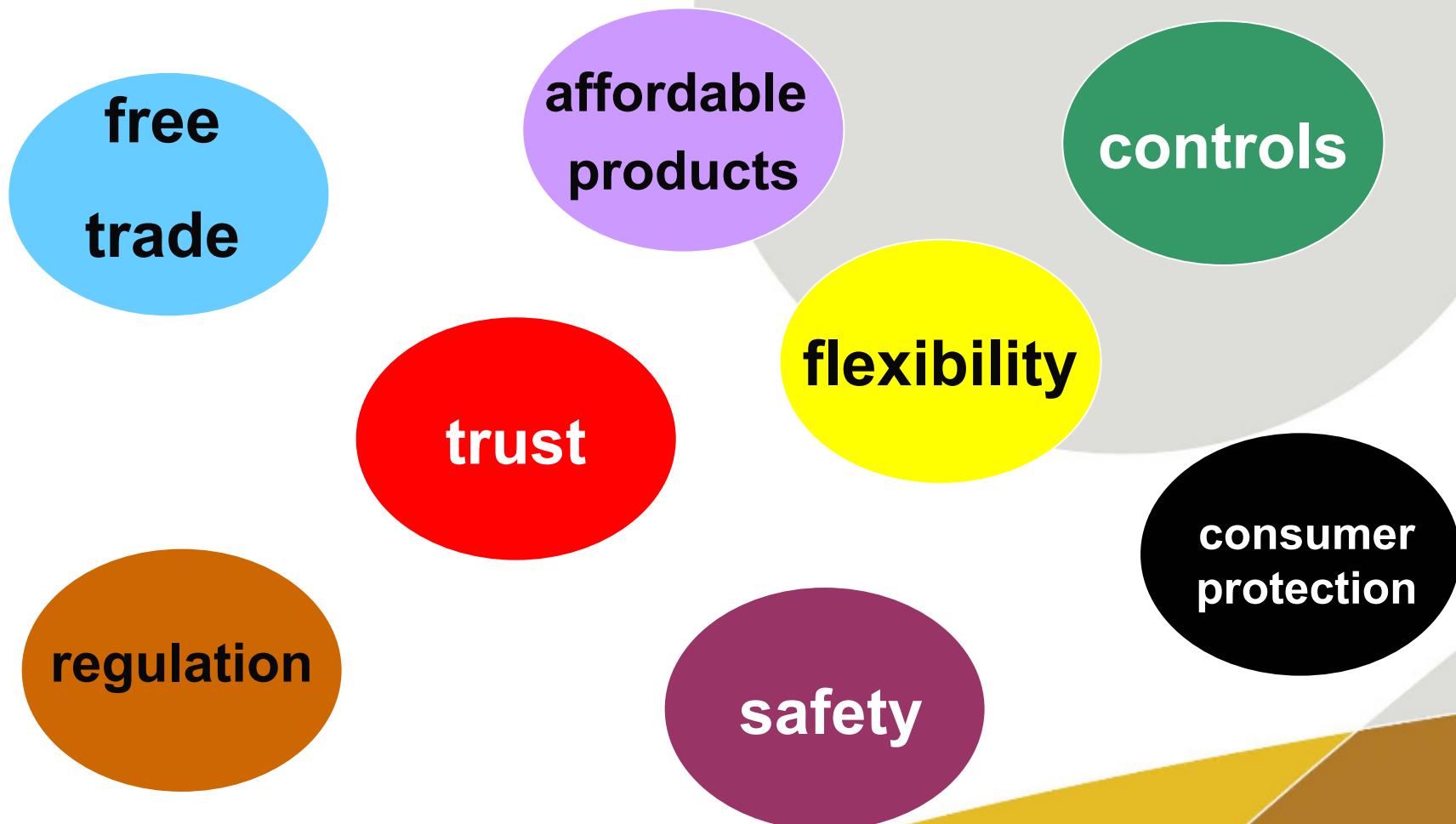


## THE NEW APPROACH (1)

- Ease free movement of goods **whilst** ensuring a high level of protection for end users
- Elimination of barriers to trade through harmonised standards



## THE NEW APPROACH (2)





## THE NEW APPROACH (3)

- Essential requirements for products establishing common levels of protection (**compulsory**)
- Harmonised standards (**voluntary**)
- Accreditation
- Conformity assessment procedures
- Requirements for  CE Marking
- Market surveillance



## THE NEW APPROACH (4)

- Common level of protection for the single market
  - Same rules for internal and imported products
  - Enhanced safety
  - Flexible technology-neutral legal environment
  - Alternatives possible
  - Reduced burden for enterprises
- > increased free movement of goods



# THE NEW APPROACH (5)

## Split responsibilities

Manufacturers

PRE-MARKET  
ASSESSMENT

- Products must fulfil Essential Requirements
-  marking

Member States

POST-MARKET  
CONTROL

- Compliance with Essential Requirements
- Market surveillance authorities



## THE NEW APPROACH (6)

- Electrical / electronic products
- Pressure equipment / Boilers
- Toys
- Construction products
- Personal protective equipment
- Machinery
- Medical devices
- Radio and telecommunications
- Lifts
- etc. ...





# STANDARDS

- Voluntary
- Developed by independent bodies
- Participation open to all stakeholders
- In line with international standards
- Included in non-legislative acts



# ACCREDITATION

- Single accreditation bodies
- Non-competition / public authority
- Requirements for accreditation bodies
- Peer evaluation
- Information obligation / transparency
- European Cooperation of Accreditors (EA)



## CONFORMITY ASSESSMENT PROCEDURES (1)

The relevant procedure is chosen on the basis of the:

- type of product
- nature of the risks involved
- economic infrastructures of the given sector
- types and importance of production
- principle of proportionality

... and it is included in the concerned Directive



## CONFORMITY ASSESSMENT PROCEDURES (2)

Based on the intervention of :

a first party (manufacturer)



Supplier's Declaration of Conformity

(i.e. toys, electrical equipment)

a third party (notified body)



Certification

(i.e. medical devices, explosives)



# THE OLD APPROACH



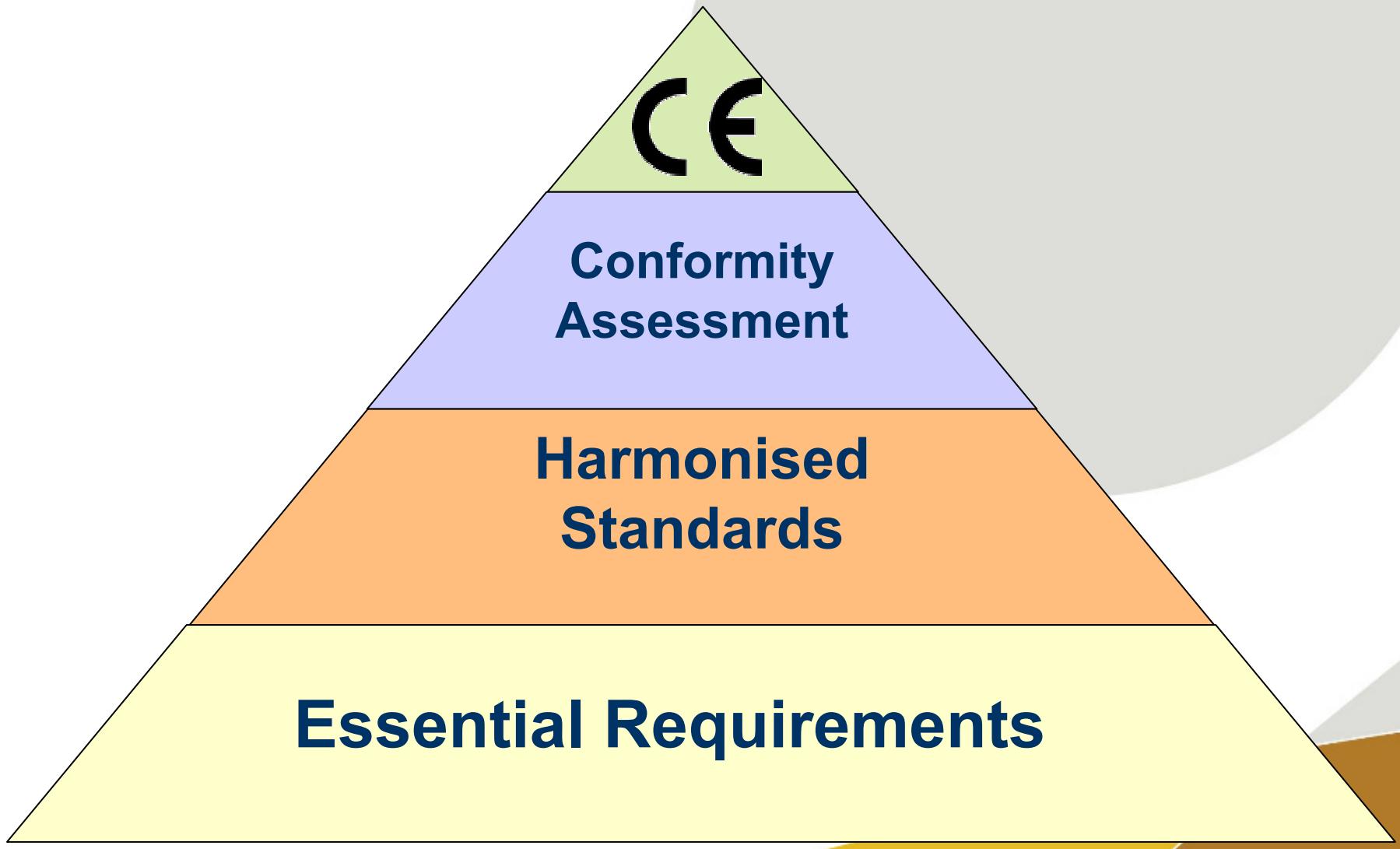
Conformity  
Assessment



Technical  
Requirements



# THE NEW APPROACH





# MARKET SURVEILLANCE

- Competence of Member States
- Scope
- Organisation / Surveillance measures
- Minimum requirements
- Communication and Co-ordination
- Control of products entering the Community



# NON-HARMONISED AREAS (1)

## EC Treaty

### Article 28

***“Quantitative restrictions on imports and all measures having equivalent effect shall be prohibited between Member States”***

### Some exceptions :

- protection of health and life of humans, animals or plants
- protection of industrial and commercial property
- protection of the environment



## NON-HARMONISED AREAS (2)

### Directive 98/34/EC

Procedure for the provision of information in the field of  
technical standards and regulations

A system of notification of technical regulations at a  
draft stage applying standstill periods during which  
the European Commission and all Member States  
can react in a specific form

**SCOPE:** industrial and agricultural products



# DIRECTIVE 98/34/EC

## Objectives

- Transparency
- Prevention
- Subsidiarity

**Smooth functioning of the Internal Market**



# DIRECTIVE 98/34/EC

## Conditions

- must contain technical regulations
- must be imputable to the state
- must fall outside the “harmonised” area



# DIRECTIVE 98/34/EC

## Timing for notification

- text must be still at a draft stage
- substantial amendments can still be made
- in any case before its adoption



# DIRECTIVE 98/34/EC

## Absence of notification

ECJ judgments regarding the procedure

- Inapplicability of non-notified technical regulations  
*CIA Security* (C-194/94)
- Inapplicability of technical regulations adopted during standstill period  
*Unilever* (C-443/98)



# DIRECTIVE 98/34/EC

## Results

- Transparency
  - TRIS internet site
- Participation
  - stakeholders' comments are welcome
- Efficiency
  - prevention is better than cure



# CONCLUSIONS

## THE SINGLE MARKET

- Free circulation both in harmonised and non-harmonised areas
- Balance between ex-ante and ex-post controls
- Market operators and regulators are equally involved
- Fair balance between regulation, control and freedom
- Among the best in terms of safety, choice and price of products



# LINKS

## New Approach

[http://ec.europa.eu/enterprise/newapproach/index\\_en.htm](http://ec.europa.eu/enterprise/newapproach/index_en.htm)

## New Internal Market Package for Goods

[http://ec.europa.eu/enterprise/regulation/internal\\_market\\_package/index\\_en.htm](http://ec.europa.eu/enterprise/regulation/internal_market_package/index_en.htm)

## Directive 98/34/EC

<http://ec.europa.eu/enterprise/tris>

## Questions

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# THE EUROPEAN LEGISLATIVE FRAMEWORK

THANK YOU



**European Commission**  
Enterprise and Industry